

PATENT APPLICATION

NITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

RECEIVED

JAN 2 4 2002

Technology Center 2600

Katsusuke SHIMAZAKI et al.

Application No.:

09/830,928

Docket No.:

109431

Filed: May 24, 2001

For:

OPTICAL DISK, DISK SUBSTRATE, AND DRIVING APPARATUS

REQUEST FOR CORRECTION OF PALM RECORDS

Director of the U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Thomas J. Pardini

Registration No. 30,411

JAO:TJP/can

Date: October 19, 2001

OLIFF & BERRIDGE, PLC

P.O. Box 19928

Alexandria, Virginia 22320

Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE

AUTHORIZATION Please grant any extension

necessary for entry;

Charge any fee due to our

Deposit Account No. 15-0461



PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING DATE

DET 1 9 200

GRP ART UNIT

FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS

TOT CLAIMS

IND CLAIMS

09/830.928

05/24/2001

2652

1582

109431

21

44

5

CONFIRMATION NO. 5074

Oliff & Berridge P O Box 19928 Alexandria, VA 22320

SEP 1 3 2001

FILING RECEIPT

OC0000000065313321

Date Mailed: 09/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBÉR, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

RECEIVED

JAN 2 4 2002

Technology Center 2600

Katsusuke Shimazaki, Ibaraki, JAPAN; Yuuji Yamazaki, Ibaraki, JAPAN: Hikaru Mizutani, Osaka, JAPAN; Tsuvoshi Maro, Kyoto, JAPAN; Kenji Ohta, Kyoto, JAPAN; Hiroyoshi Takagi, Kyoto, JAPAN; Katsuhiro Takahashi, Kyoto, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP99/06202 11/08/1999

Foreign Applications

JAPAN 10-315847 11/06/1998 JAPAN 11-068489 03/15/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

SEE PAGE 2

Title

Optical disk, disk substrate, and drive

· driving apparatus

Preliminary Class

369

Data entry by : NGUYEN, SON

Team: OIPE

Date: 09/07/2001

CONTROL OF THE CONTRO